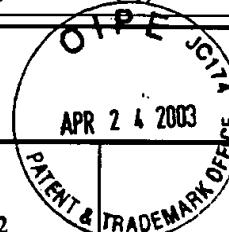


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
OKI.468

In Re Application Of: **Kazuo SUTO**



5/205

Serial No.

Filing Date

Examiner  
TBA

Group Art Unit

Title: MODULATOR

**Address to:**

**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

37 CFR 1.97(b)

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

3.  Also enclosed is a copy of an Office Action dated February 5, 2003, with an English translation, which was issued by the Japanese Patent Office in connection with application no. 2001-284537.

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
OKI.468

In Re Application: **Kazuo SUTO**



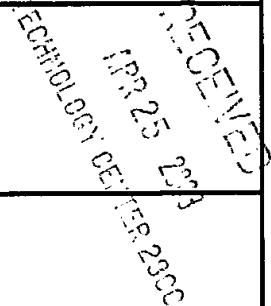
Serial No.  
10/053,897

Filing Date  
January 24, 2002

Examiner  
TBA

Group Art Unit  
2817

**MODULATOR**



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
  - Charge the amount of \_\_\_\_\_.
  - Credit any overpayment.
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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F)

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I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

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\*This certificate may only be used if paying by deposit account.

*Signature*

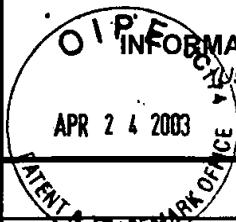
**ADAM C. VOLENTINE**  
REG. NO. 33,289

**VOLENTINE FRANCOS, P.L.L.C.**  
12200 SUNRISE VALLEY DRIVE, SUITE 150  
RESTON, VA 20191

TEL. NO.: (703) 715-0870

CC:

Dated: **APRIL 24, 2003**



## INFORMATION DISCLOSURE CITATION

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OKI.460

SERIAL NO.

10/053,897

Kazuo SUTO

**FILING**

January 24, 2002

**GROUP**

2817

## U.S. PATENT DOCUMENTS

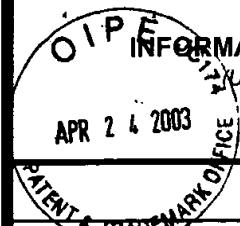
## FOREIGN PATENT DOCUMENTS

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

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**EXAMINER** \_\_\_\_\_ **DATE CONSIDERED** \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



## INFORMATION DISCLOSURE CITATION

Use several sheets if necessary)

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ATTY DOCKET NO.

OKI.46.

SERIAL NO.

10/053,897

Kazuo SUTO

**FILING**

January 24, 2002

**GROUP**

2817

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

|   |                | DOCUMENT NUMBER | DATE  | COUNTRY | CLASS | SUBCLASS | TRANSLATION   |    |
|---|----------------|-----------------|-------|---------|-------|----------|---------------|----|
|   |                |                 |       |         |       |          | YES           | NO |
| A | 11-068719      | 03/09/1999      | JAPAN |         |       |          | ✓<br>Abstract |    |
| B | 6 (1994)-6597  | 02/16/1994      | JAPAN |         |       |          | ✓             |    |
| C | 3 (1991)-54426 | 12/02/1991      | JAPAN |         |       |          | ✓             |    |
| D | 2 (1990)-36215 | 03/08/1990      | JAPAN |         |       |          | ✓             |    |
| E | 06-276022      | 09/30/1994      | JAPAN |         |       |          | ✓<br>Abstract |    |

**OTHER DOCUMENTS** (*Including Author, Title, Date, Pertinent Pages, Etc.*)

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**EXAMINER**

**DATE CONSIDERED**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.